

The background of the slide features a collage of various plastic bottles and a metal can. In the upper left, there is a blue aluminum can with a pull-tab lid, showing some text like "Nutrition Facts" and "VERY LOW SODIUM". To its right and slightly below is a clear plastic bottle with a blue screw-on cap. Further right is a green plastic bottle with a green screw-on cap. The background is a light blue gradient with a white swoosh graphic that curves around the text.

Recycling Program Enforcement Branch – Quarterly Statistics

April 18, 2017



PROBATIONARY REVIEWS

STATISTICS	CY 2015	CY 2016	% Change
DORiis Auto-Generated Cases	850	797	(6%)
Recycling Centers Visited:	252	245	(3%)
• Passed	51	67	31%
• Extended	191	175	(8%)
• Recommendation for Revocation	2	1	(50%)
• Decertified during/result of visit	8	2	(75%)



PROBATIONARY REVIEWS

STATISTICS	CY 2015	CY 2016	% Change
Recycling Centers Not Visited:	598	552	(8%)
• Passed	133	73	(45%)
• Extended	401	396	(1%)
• Recommendation for Revocation	2	-	(100%)
• Not RCs	40	63	58%
• Decertified / Abandoned	15	19	27%
• Ongoing Investigations	7	1	(86%)



PROBATIONARY REVIEWS

STATISTICS	CY 2015	CY 2016	% Change
Total Assessments	\$110,630	\$118,120	7%
Restitution	\$63,580	\$11,270	(82%)
Civil Penalties	\$47,050	\$106,850	127%



HANDLING FEE REVIEWS

STATISTICS	CY 2015	CY 2016	% Change
Handling Fee Applications Reviewed	74	99	34%
Handling Fee Applications Denied	63	70	11%
Handling Fee Applications Released	11	29	164%

Handling Fees Claimed	\$692,397	\$851,681	23%
Handling Fees Denied	\$549,300	\$395,950	(28%)
Handling Fees Released	\$143,097	\$460,319	222%



HANDLING FEE REVIEWS

STATISTICS	CY 2015	CY 2016	% Change
Total Assessments	\$11,401	\$9,278	(19%)
Restitution	\$10,701	\$128	(99%)
Civil Penalties	\$700	\$9,150	1,207%



INSPECTIONS

STATISTICS	CY 2015	CY 2016	% Change
Recycling Center Inspections	4,185	3,430	(18%)
Notices of Non-Compliance Issued	1,053	803	(24%)
Notices of Violation Issued	611	577	(6%)
Civil Penalty Assessments	\$136,650	\$162,450	19%



INSPECTIONS

STATISTICS	CY 2015	CY 2016	% Change
Dealer Inspections	128	510	298%
Notices of Non-Compliance Issued	31	202	551%
Notices of Violation Issued	23	68	196%
Civil Penalty Assessments	\$5,300	\$14,550	175%
Complaints Resolved	45	120	167%



INVESTIGATIONS

STATISTICS	CY 2015	CY 2016	% Change
Investigations Initiated	74	68	(8%)
Investigations Closed	75	74	(1%)
Fraud Detection Reviews Initiated	17	123	624%
Fraud Detection Reviews Closed	45	82	82%



INVESTIGATIONS

STATISTICS	CY 2015	CY 2016	% Change
Claimed Volume Reports Initiated	104	137	32%
Claimed Volume Reports Closed	117	132	13%
Recycler Load Inspection Initiated	124	64	(48%)
Recycler Load Inspection Closed	96	79	(18%)



INVESTIGATIONS

STATISTICS	CY 2015	CY 2016	% Change
Call Center Cases Initiated	95	94	(1%)
Call Center Cases Closed	85	66	(22%)
Load Inspection/Reviews Conducted:	6,826	3,364	(51%)
• Denied	50	44	(12%)
• Reduced	50	40	(20%)
Participants Placed on Prepayment Control Status	37	27	(27%)



INVESTIGATIONS

STATISTICS	CY 2015	CY 2016	% Change
Forensic Reviews Completed	74	72	(3%)
Notices of Noncompliance Issued	170	185	9%
Notices of Violation Issued	72	69	(4%)
Recommendation to Revoke / Terminate Certification	7	3	(57%)
Recommendation to Deny Certification Applications	12	5	(58%)
DOJ Referrals	27	29	7%



IMPORTED MATERIALS

IMPORTED MATERIAL REPORT (IMR) STATISTICS	CY 2015	CY 2016	% Change
IMRs Received	6,113	6,848	12%
- Semi-Trucks	74%	85%	15%
- Baled	48%	52%	8%
- Single-Stream	49%	54%	10%



IMPORTED MATERIALS

IMR STATISTICS	CY 2015	CY 2016	% Change
Nevada	33%	37%	12%
Arizona	25%	19%	(24%)
Utah	18%	19%	6%
Oregon	8%	9%	13%



PROCESSOR OVERSIGHT

STATISTICS	CY 2015	CY 2016	% Change
Investigations Initiated	N/A	28	N/A
Investigations Closed	N/A	16	N/A
Fraud Detection Reviews Initiated	N/A	1	N/A
Fraud Detection Reviews Closed	N/A	0	N/A



PROCESSOR OVERSIGHT

STATISTICS	CY 2015	CY 2016	% Change
Claimed Volume Reports Initiated	N/A	15	N/A
Claimed Volume Reports Closed	N/A	0	N/A
Recycler Load Inspection Initiated	N/A	7	N/A
Recycler Load Inspection Closed	N/A	3	N/A



PROCESSOR OVERSIGHT

STATISTICS	CY 2015	CY 2016	% Change
Load Inspection/Reviews Conducted:	N/A	1,779	N/A
• Denied	N/A	11	N/A
• Reduced	N/A	7	N/A



DOJ INVESTIGATIONS

January – December 2016	Investigations Initiated	Investigations Closed	Arrests
Northern Recycling Team	2	0	10
Southern Recycling Team	10	6	6
Totals:	12	6	16



ACCUSATIONS

Accusations filed & served January 1 – March 31, 2017:

No new accusations were filed & served during this time period.



ACCUSATIONS

**Accusations resolved from January 1, 2017
through March 31, 2017~ filed & served after CY
2013:**

**No new accusations were resolved
during this time period.**



ACCUSATIONS

Unresolved accusations as of March 31, 2017 ~ filed & served after CY 2013:

Participant Name	Date Filed	Amount Assessed
J & S Recycling	02/07/13	\$206,258.61
USA Recycling	04/24/13	\$790,786.56
Eagle Recycling	07/29/14	\$2,749,279.41
Enviro Recycling	08/24/15	\$1,749,881.00
PNL Recycling	08/26/15	\$2,065,289.00



ACCUSATIONS

Unresolved accusations as of March 31, 2017 ~ filed & served after CY 2013 (con't):

Participant Name	Date Filed	Amount Assessed
Meija's Recycling	10/26/15	\$517,066.72
USA Waste of California	02/16/16	\$3,953,330.26
California Waste Solutions	04/28/16	\$12,433,551.00
Recycling Service Alliance	05/31/16	\$1,420,005.38
	TOTAL:	\$26,066,947.94



ACCUSATIONS

Unresolved accusations as of March 31, 2017 ~ filed & served before CY 2013:

Participant Name	Date Filed	Amount Assessed
Piccadilly Imports, Inc.	12/22/08	\$ 10,990.87
Villanueva Recycling	04/30/10	\$ 149,434.94
	TOTAL:	\$ 160,425.81